

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-02-18

2. **Agency:** 011

3. **Bureau:** 03

4. **Name of this Investment:** JMD Unified Financial Management System (UFMS)

5. **Unique Project (Investment) Identifier (UPI):** 011-03-01-01-01-1126-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2002

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Department of Justice (DOJ) has initiated an effort to implement a unified financial management system (UFMS) that will improve the existing and future financial management and procurement operations across DOJ. The Department will address these needs via the implementation of UFMS, which is planned to replace four core financial management systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off The Shelf solution. By the end of BY2012, two additional Components (AFP & USMS) will be added to the UFMS Shared Service Environment. Additionally, BOP Acquisitions will begin moving from UFMS 1 to UFMS 2 and the FBI implementation will be underway. UFMS will allow the DOJ to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and Program managers across the Department, and produce Component- and Department-level financial statements. In addition, the system will assist the DOJ by improving financial management performance and aid Department Components in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the DOJ Office of the Inspector General. Finally, the system will provide procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation and the Justice Acquisition Regulations.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
Persistent Financial Management Systems Issues Remain for Many CFO Act Agencies	http://www.gao.gov/new.items/d081018.pdf

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**

2001-12-31

b. **Provide the date of the most recent or planned approved project charter.** 2004-09-17

10. Contact information?

a. **Program/Project Manager Name:** *

Phone Number: *

Email: *

b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Lofthus, Lee
(Executive Sponsor); Lauria Sullens, Jolene (System Owner)

Phone Number: *

Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 8

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	1501	DJJ06F1338	GS35F4984H		*	*	\$375.0	Order Dependent (IDV only)	N	2005-12-15		Y	Integration and Implementation Services for the Department of Justice's Unified Financial Management System
Awarded	1501	DJJ04F0892	T009		*	*	\$11.8	Labor Hours	N	2006-08-01	2011-06-18		
Awarded	1501	DJJ09F1810	GS35F5461H		*	*	\$17.8	Firm Fixed Price	N	2009-07-24	2011-07-23	N	Program Management and Technical Support Services for the Unified Financial Management System (UFMS) Program Management Office (PMO).

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *

b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *

c. Was the Acquisition Plan approved in accordance with agency requirements *

d. If "yes," enter the date of approval? *

e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *

f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *

g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.

2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.

3. Provide the date of the most recent or planned Quality Assurance Plan 2011-10-31

4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
 011-10-01-03-02-2045-00,011-20-01-01-02-3420-00,011-14-01-01-02-3442-00,011-14-01-01-02-3459-00,
 011-12-01-01-02-2521-00

5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2009-09-21

6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-09-14

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Perform all activities required to administer the successful implementation of UFMS during FY02 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$1.7	\$1.7	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY03 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$9.5	\$9.5	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY04 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$5.9	\$5.9	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
Equipment and software for a data hosting infrastructure to	DME	*	\$2.3	\$2.3	2004-07-01	2004-07-01	2006-12-10	2006-12-10	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
support UFMS at the Justice Data Center										
Perform all activities required to administer the successful implementation of UFMS during FY05 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$12.1	\$12.1	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY06 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$9.8	\$9.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Perform all activities required to provide independent verification and validation - FY06	DME	*	\$1.6	\$1.6	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Perform all development support functions for Foundation Build and Component builds in FY06	DME	*	\$1.0	\$1.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Perform all integration	DME	*	\$8.2	\$8.2	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
contractor activities required to administer the successful implementation of UFMS during FY06										
Component program management office for oversight of DEA's implementation of UFMS	DME	*	\$3.1	\$3.1	2006-01-01	2006-01-01	2011-04-01	2011-04-01	100.00%	100.00%
Establish a financial reporting foundation from which the subsequent Component implementations will be developed	DME	*	\$16.4	\$16.4	2006-01-01	2006-01-01	2008-09-30	2008-09-30	100.00%	100.00%
DEA Planning	DME	*	\$0.7	\$0.7	2006-08-25	2006-08-25	2007-12-31	2007-12-31	100.00%	100.00%
AFP Phase 1 Planning	DME	*	\$0.6	\$0.6	2006-09-13	2006-09-13	2007-01-31	2007-01-31	100.00%	100.00%
Enterprise Reporting	DME	*	\$0.9	\$0.9	2006-10-01	2006-10-01	2009-04-13	2009-04-13	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY07 (Includes Government FTEs, PMO fixed costs, PMO	DME	*	\$7.5	\$7.5	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
support)										
Perform all activities required to provide independent verification and validation - FY07	DME	*	\$0.1	\$0.1	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Perform all development support functions for Foundation Build and Component builds in FY07	DME	*	\$1.1	\$1.1	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY07	DME	*	\$7.0	\$7.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Purchase user licenses necessary to accommodate all new users of UFMS during FY07	DME	*	\$0.6	\$0.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
UFMS Learning Center	DME	*	\$1.5	\$1.4	2006-10-01	2006-10-01	2013-09-30		94.00%	94.00%
Momentum Security	DME	*	\$0.2	\$0.2	2006-12-01	2006-12-01	2007-12-31	2007-12-31	100.00%	100.00%
AFP Phase 1 Implementation and Testing	DME	*	\$2.8	\$2.8	2006-12-30	2006-12-30	2008-04-03	2008-04-03	100.00%	100.00%
FBI Phase 1	DME	*	\$2.2	\$2.2	2007-03-19	2007-03-19	2009-04-14	2009-04-14	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Planning										
DEA Design and Development	DME	*	\$0.9	\$0.9	2007-07-01	2007-07-01	2008-12-31	2008-12-31	100.00%	100.00%
DEA Implementation and Testing	DME	*	\$14.9	\$14.9	2007-07-01	2007-07-01	2009-01-31	2009-01-31	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY08 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$10.1	\$10.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Perform all activities required to administer the successful operation and maintenance of UFMS during FY08. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	\$1.7	\$1.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Perform all activities required to provide independent verification and validation - FY08	DME	*	\$1.0	\$1.0	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Perform all development support functions for Foundation Build and Component builds in FY08	DME	*	\$6.4	\$6.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY08	DME	*	\$5.5	\$5.5	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Production support activities including help desk administration - FY08	SS	*	\$3.6	\$3.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Purchase user licenses necessary to accommodate all new users of UFMS during FY08	DME	*	\$0.7	\$0.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
AFP Phase 1 Annual Close Support	DME	*	\$0.1	\$0.1	2008-09-01	2008-09-01	2009-01-31	2009-01-31	100.00%	100.00%
ATF Phase 1 FRED Upgrade	DME	*	\$1.1	\$1.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Perform all activities required to administer the successful implementation of	DME	*	\$10.4	\$10.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
UFMS during FY09 (Includes Government FTEs, PMO fixed costs, PMO support)										
Perform all activities required to administer the successful operation and maintenance of UFMS during FY09. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	\$6.0	\$6.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Perform all activities required to provide independent verification and validation - FY09	DME	*	\$0.8	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Perform all development support functions for Foundation Build and Component builds in FY09	DME	*	\$12.7	\$12.7	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Perform all integration contractor activities required to administer the	DME	*	\$7.3	\$7.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
successful implementation of UFMS during FY09										
Perform all planning, configuration, integration, and implementation activities necessary to upgrade UFMS from version 1.0 to version 2.0	DME	*	\$8.1	\$7.1	2008-10-01	2008-10-01	2011-09-30		80.00%	88.00%
Production support activities including help desk administration - FY09	SS	*	\$6.4	\$6.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Purchase hardware to support the operation of UFMS for the Component in FY09	DME	*	\$2.7	\$2.7	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Purchase user licenses necessary to accommodate all new users of UFMS during FY09	DME	*	\$0.1	\$0.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
ATF Design and Development	DME	*	\$2.8	\$2.8	2008-11-24	2008-11-24	2010-12-31	2010-12-31	100.00%	100.00%
ATF Planning	DME	*	\$3.2	\$3.2	2008-11-24	2008-11-24	2009-12-31	2009-12-31	100.00%	100.00%
BOP Phase 1 Planning	DME	*	\$0.5	\$0.5	2009-02-01	2009-02-01	2009-04-01	2009-04-01	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
BOP Phase 1 Design and Development	DME	*	\$0.1	\$0.1	2009-04-01	2009-04-01	2009-10-31	2009-10-31	100.00%	100.00%
DEA Post-Implementation	DME	*	\$1.0	\$1.0	2009-04-01	2009-04-01	2009-04-01	2009-04-01	100.00%	100.00%
BOP Phase 1 Implementation and Testing	DME	*	\$0.4	\$0.4	2009-05-01	2009-05-01	2009-12-31	2009-12-31	100.00%	100.00%
DEA Annual Close	DME	*	\$0.3	\$0.3	2009-06-01	2009-06-01	2009-12-31	2009-12-31	100.00%	100.00%
BOP Phase 1 Post-Implementation	DME	*	\$0.0	\$0.0	2009-08-01	2009-08-01	2009-11-30	2009-11-30	100.00%	100.00%
ATF Implementation and Testing	DME	*	\$6.9	\$6.8	2009-09-28	2009-09-28	2011-04-01	2011-04-01	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY10 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$10.7	\$10.5	2009-10-01	2009-10-01	2011-04-01		92.32%	98.00%
Perform all activities required to administer the successful operation and maintenance of UFMS during FY10. (Includes any Government FTEs, fixed costs, support contracts,	SS	*	\$6.3	\$6.3	2009-10-01	2009-10-01	2011-04-01	2011-04-01	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
hosting fees, and license maintenance used to support the operation of UFMS)										
Perform all activities required to provide independent verification and validation - FY10	DME	*	\$0.6	\$0.6	2009-10-01	2009-10-01	2011-04-01	2011-04-01	100.00%	100.00%
Perform all development support functions for Foundation Build and Component builds in FY10	DME	*	\$12.1	\$11.8	2009-10-01	2009-10-01	2011-04-01		92.32%	96.00%
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY10	DME	*	\$6.6	\$6.6	2009-10-01	2009-10-01	2011-04-01	2011-04-01	100.00%	100.00%
Production support activities including help desk administration - FY10	SS	*	\$7.3	\$6.6	2009-10-01	2009-10-01	2011-04-01		92.32%	90.00%
USMS Phase 1 Planning	DME	*	\$0.2	\$0.2	2009-10-01	2009-10-01	2010-05-31	2010-05-31	100.00%	100.00%
USMS Phase 1 Implementation and Testing	DME	*	\$0.3	\$0.3	2009-11-01	2009-11-01	2010-05-31	2010-05-31	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
USMS Phase 1 Design and Development	DME	*	\$0.1	\$0.1	2009-12-01	2009-12-01	2010-03-31	2010-03-31	100.00%	100.00%
USMS Phase 2 Planning	DME	*	\$3.4	\$3.4	2010-03-01	2010-03-01	2011-03-31	2011-03-31	100.00%	100.00%
USMS Phase 1 Post-Implementation	DME	*	\$0.1	\$0.1	2010-03-01	2010-03-01	2011-04-01	2011-04-01	100.00%	100.00%
ATF User Acceptance Testing	DME	*	\$0.1	\$0.1	2010-08-01	2010-08-01	2010-12-31	2010-12-31	100.00%	100.00%
Perform all activities required to administer the successful implementation of UFMS during FY11 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$9.8	\$5.3	2010-10-01	2010-10-01	2012-04-01		55.00%	54.00%
Perform all activities required to administer the successful operation and maintenance of UFMS during FY11. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	\$26.4	\$12.4	2010-10-01	2010-10-01	2012-04-01		50.00%	47.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Perform all development support functions for Foundation Build and Component builds in FY11	DME	*	\$16.0	\$11.1	2010-10-01	2010-10-01	2012-04-01		69.00%	69.00%
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY11	DME	*	\$7.3	\$1.1	2010-10-01	2010-10-01	2012-04-01		26.00%	15.00%
Purchase hardware to support the operation of UFMS for the Component in FY11	SS	*	\$12.0	\$0.7	2010-10-01	2010-10-01	2012-04-01		6.00%	6.00%
FBI Phase 2 Planning	DME	*	\$4.6	\$4.1	2010-10-18	2010-10-18	2011-12-31		85.00%	85.00%
ATF Post-Implementation	DME	*	\$0.4	\$0.4	2010-10-29	2010-10-29	2011-04-01	2011-04-01	100.00%	100.00%
AFP Phase 2 Planning	DME	*	\$1.2	\$1.1	2010-11-01	2010-11-01	2011-12-31		95.00%	98.00%
USMS Phase 2 Design and Development	DME	*	\$5.6	\$1.0	2010-11-29	2010-11-29	2012-03-06		17.49%	19.00%
USMS Phase 2 Implementation and Testing	DME	*	\$8.7	\$2.3	2010-12-01	2010-12-01	2012-06-28		23.00%	25.00%
AFP Phase 2 Design,	DME	*	\$4.5	\$0.0	2011-04-01	2011-02-01	2012-11-30		0.00%	0.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Implementation,										
Purchase user licenses necessary to accommodate all new users of UFMS during FY12	DME	*	\$2.6	\$0.0	2011-06-01		2013-04-01		0.00%	0.00%
FBI Phase 2 Design, Implementation, Post-Implementation, and Annual Close	DME	*	\$43.6	\$0.0	2011-09-01		2014-12-31		0.00%	0.00%
Perform all activities required to administer the successful implementation of UFMS during FY12 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	\$8.7	\$0.0	2011-10-01		2013-04-01		0.00%	0.00%
Perform all activities required to administer the successful operation and maintenance of UFMS during FY12. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and	SS	*	\$27.8	\$0.0	2011-10-01		2013-04-01		0.00%	0.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
license maintenance used to support the operation of UFMS)										
Perform all development support functions for Foundation Build and Component builds in FY12	DME	*	\$15.0	\$0.0	2011-10-01		2013-04-01		0.00%	0.00%
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY12	DME	*	\$8.2	\$0.0	2011-10-01		2013-04-01		0.00%	0.00%
USMS Phase 2 Post-Implementation	DME	*	*	*	2012-02-23	*	2012-07-19	*	*	*
USMS Phase 2 Annual Close Support	DME	*	*	*	2012-07-01	*	2013-03-31	*	*	*
Perform all activities required to administer the successful implementation of UFMS during FY13 (Includes Government FTEs, PMO fixed costs, PMO support)	DME	*	*	*	2012-10-01	*	2014-04-01	*	*	*
Perform all	SS	*	*	*	2012-10-01	*	2014-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
activities required to administer the successful operation and maintenance of UFMS during FY13. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)										
Perform all development support functions for Foundation Build and Component builds in FY13	DME	*	*	*	2012-10-01	*	2014-04-01	*	*	*
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY13	DME	*	*	*	2012-10-01	*	2014-04-01	*	*	*
Perform all activities required to administer the successful implementation of UFMS during FY14 (Includes Government	DME	*	*	*	2013-10-01	*	2015-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FTEs, PMO fixed costs, PMO support)										
Perform all activities required to administer the successful operation and maintenance of UFMS during FY14. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	*	*	2013-10-01	*	2015-04-01	*	*	*
Perform all development support functions for Foundation Build and Component builds in FY14	DME	*	*	*	2013-10-01	*	2015-04-01	*	*	*
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY14	DME	*	*	*	2013-10-01	*	2015-04-01	*	*	*
Perform all activities required to administer the successful implementation of	DME	*	*	*	2014-10-01	*	2016-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
UFMS during FY15 (Includes Government FTEs, PMO fixed costs, PMO support)										
Perform all activities required to administer the successful operation and maintenance of UFMS during FY15. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	*	*	2014-10-01	*	2016-04-01	*	*	*
Perform all integration contractor activities required to administer the successful implementation of UFMS during FY15	DME	*	*	*	2014-10-01	*	2016-04-01	*	*	*
Perform all activities required to administer the successful operation and maintenance of UFMS during FY16. (Includes any Government	SS	*	*	*	2015-10-01	*	2017-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)										
Perform all activities required to administer the successful operation and maintenance of UFMS during FY17. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	*	*	2016-10-01	*	2018-04-01	*	*	*
Perform all activities required to administer the successful operation and maintenance of UFMS during FY18. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of	SS	*	*	*	2017-10-01	*	2019-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
UFMS)										
Perform all activities required to administer the successful operation and maintenance of UFMS during FY19. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	*	*	2018-10-01	*	2020-04-01	*	*	*
Perform all activities required to administer the successful operation and maintenance of UFMS during FY20. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)	SS	*	*	*	2019-10-01	*	2021-04-01	*	*	*
Perform all activities required to administer the successful operation and maintenance of	SS	*	*	*	2020-10-01	*	2022-04-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
UFMS during FY21. (Includes any Government FTEs, fixed costs, support contracts, hosting fees, and license maintenance used to support the operation of UFMS)										

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2010-09-10

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Processes and Activities	Knowledge Management	100% of UFMS Program risks are reviewed on a monthly basis	monthly	Percentage	Expected to remain within target	100% of UFMS Program risks are reviewed monthly	2010-09-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Maintain 100% review of all UFMS Program risks on a monthly basis	100% of all UFMS Program risks reviewed monthly	Met	2011-03-25
			2010	Maintain 100% review of all UFMS Program risks on a monthly basis	100% of all UFMS Program risks reviewed monthly	Met	2011-03-25
			2011	Maintain 100% review of all UFMS Program risks on a monthly basis	100% of all UFMS Program risks reviewed monthly	Met	2011-03-25
			2012	Maintain 100% review of all UFMS Program risks on a monthly basis		Not Due	2011-03-25
Processes and Activities	Savings and Cost Avoidance	Average number of business days to pay a Purchase Card invoice	monthly	Days	Decrease	3 business days	2010-09-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	=2.5		Not Due	2011-05-24
Customer Results	Customer Satisfaction	Help desk response time: average time to resolve help desk trouble tickets (year-to-date)	monthly	Days	Expected to remain within target	1.5 days	2010-09-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

Technology	Availability	IT hosting infrastructure availability per month (year-to-date)					
			2009	3 days	1.5 Average Days Fiscal Year to Date	Met	2011-03-25
			2010	3 days	1.9 Average Days Fiscal Year to Date	Met	2011-03-25
			2011	3 days	2.93 Average Days Fiscal Year to Date	Met	2011-07-26
			2012	3 days		Not Due	2011-03-25
			monthly	Percentage	Increase	0.99	2010-09-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	0.99	0.974	Not Met	2011-02-22
			2010	0.99	0.996	Met	2011-02-22
			2011	0.99	0.987	Met	2011-07-26
Mission and Business Results	Reporting and Information	Maintain the number of components using UFMS as the system of record for audit.	2012	0.99		Not Due	2011-03-25
			annual	Audit conducted	Increase	1 Component	2010-09-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	1 Component	1 Component	Met	2011-03-25
			2010	1 Component	1 Component	Met	2011-03-25

2011	2 Components		Not Due	2011-03-25
2012	4 Components		Not Due	2011-03-25

* - Indicates data is redacted.